

# Instantons

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## Abstract

In this lectures, I will introduce the instanton solution of QCD [1–4]

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## I. WINDING NUMBER

Let us QCD action.

$$\begin{aligned}
S_{Min} &= \int dt d^3x \left( -\frac{1}{2} \text{Tr}[F_{\mu\nu} F^{\mu\nu}] \right) \\
F_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu - ig[A_\mu, A_\nu] \\
D_\mu &= \partial_\mu - igA_\mu
\end{aligned} \tag{1}$$

The Euclidean QCD is obtained by the following rules

$$\begin{aligned}
k_0 &= ik_4, \quad t = -ix_4 \\
S_{Eucl} &= -iS_{Min} \\
A_\mu &= \frac{g\tau^a}{2i} A_\mu^a
\end{aligned} \tag{2}$$

from which the Euclidean action becomes,

$$\begin{aligned}
S_{Eucl} &= \int d^4x \left( -\frac{1}{2g^2} \text{Tr}[G_{\mu\nu} G_{\mu\nu}] \right) \\
G_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]
\end{aligned} \tag{3}$$

Now we want a finite action solution

$$G_{\mu\nu} \xrightarrow{r \rightarrow \infty} 0 \tag{4}$$

This means that the gauge field should become a pure gauge at the boundary.

$$A_\mu(r \rightarrow \infty) = U \partial_\mu U^{-1}(\alpha_1, \alpha_2, \alpha_3) \tag{5}$$

Since U is an SU(2) value function,  $A_\mu$  at eq.(5) is a mapping

$$S_3^{phys} \rightarrow S_3^{SU(2)-int} \tag{6}$$

which is characterized by the winding number  $\Pi_n(S_n) = Z$ . This number is called the Pontryagin index

$$Q = -\frac{1}{16\pi^2} \int d^4x \text{Tr}[\tilde{G}G] \tag{7}$$

where,  $\tilde{G}_{\mu\nu} = \frac{1}{2}\epsilon_{\mu\nu\alpha\beta}G_{\alpha\beta}$ . The factor of 1/2 is to ensure that  $\tilde{\tilde{G}}_{\mu\nu} = G_{\mu\nu}$

One can prove that

$$D_\mu \tilde{G}_{\mu\nu} = 0 \tag{8}$$

This Bianchi type identities can be proven by using the following identity

$$\epsilon_{\mu\nu\alpha\beta} D_\mu D_\alpha D_\beta = -\epsilon_{\mu\nu\alpha\beta} D_\alpha D_\mu D_\beta = \epsilon_{\mu\nu\alpha\beta} D_\alpha D_\beta D_\mu \tag{9}$$

Using the Theorem in Eq. (8), one can show that

$$Q = \int d^4x \partial_\mu j_\mu = \oint_{S_3^{phys}} d\sigma_\mu j^\mu \tag{10}$$

where

$$j_\mu = -\frac{1}{8\pi^2} \epsilon_{\mu\nu\alpha\beta} \text{Tr}[A_\nu(\partial_\alpha A_\beta + \frac{2}{3}A_\alpha A_\beta)] \tag{11}$$

## A. Prototype

For finite action solution, one can use the following identity at the boundary  $r \rightarrow \infty$ .

$$G_{\alpha\beta} = 0 \rightarrow \partial_\alpha A_\beta = -A_\alpha A_\beta \quad (12)$$

Then

$$\begin{aligned} A &= -\frac{1}{8\pi^2} \epsilon_{\mu\nu\alpha\beta} \int d\sigma_\mu \text{Tr}[A_\nu (\partial_\alpha A_\beta + \frac{2}{3} A_\alpha A_\beta)] \\ &= -\frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \int d\sigma_\mu \text{Tr}[(\partial_\nu U)U^{-1}(\partial_\alpha U)U^{-1}(\partial_\beta U)U^{-1}] \end{aligned} \quad (13)$$

To check that the normalization is correct, consider a prototype

$$\begin{aligned} U_1(x) &= (x_4 + ix_i \sigma_i)/|x| = \hat{x}_\mu s_\mu \\ U_1^{-1}(x) &= (x_4 - ix_i \sigma_i)/|x| = \hat{x}_\mu \bar{s}_\mu \\ UU^{-1} &= \hat{x} \cdot s \hat{x} \cdot \bar{s} = 1 \end{aligned} \quad (14)$$

where  $s_\mu = (1, i\vec{\sigma})$ .

Some useful identities are

$$\begin{aligned} \partial_\mu U &= \frac{s_\mu - \hat{x}_\mu \hat{x} \cdot s}{|x|} \\ (\partial_\mu U)U^{-1} &= \frac{s_\mu \hat{x} \cdot \bar{s} - \hat{x}_\mu}{|x|} = 2i\Sigma_{\mu\nu} \frac{\hat{x}_\nu}{|x|} \\ U^{-1}(\partial_\mu U) &= \frac{\hat{x} \cdot \bar{s} s_\mu - \hat{x}_\mu}{|x|} = -2i\bar{\Sigma}_{\mu\nu} \frac{\hat{x}_\nu}{|x|} \end{aligned} \quad (15)$$

where

$$\begin{aligned} \Sigma_{\mu\nu} &= \frac{-i}{2} (s_\mu \bar{s}_\nu - \delta_{\mu\nu}) = \frac{-i}{4} (s_\mu \bar{s}_\nu - s_\nu \bar{s}_\mu) = \eta^{i\mu\nu} \sigma_i / 2 \\ \bar{\Sigma}_{\mu\nu} &= \frac{i}{2} (\bar{s}_\nu s_\mu - \delta_{\mu\nu}) = \frac{i}{4} (\bar{s}_\nu s_\mu - \bar{s}_\mu s_\nu) = \bar{\eta}^{i\mu\nu} \sigma_i / 2 \end{aligned} \quad (16)$$

where

$$\eta^{i\mu\nu} = -\eta^{i\nu\mu} = \begin{cases} \epsilon^{i\mu\nu} & \text{for } \mu, \nu = 1, 2, 3 \\ +\delta^{i\mu} & \text{for } \nu = 4 \end{cases} \quad (17)$$

$$\bar{\eta}^{i\mu\nu} = -\bar{\eta}^{i\nu\mu} = \begin{cases} \epsilon^{i\mu\nu} & \text{for } \mu, \nu = 1, 2, 3 \\ -\delta^{i\mu} & \text{for } \nu = 4 \end{cases} \quad (18)$$

The properties of the  $\epsilon$  tensors, useful for manipulating the instantons, are given in the appendix of [2]

Some useful identities are,

$$s_\mu \hat{x} \cdot \bar{s} = -\hat{x} \cdot s \bar{s}_\mu + 2\hat{x}_\mu \quad (19)$$

substituting this, we find

$$Q = 1 \quad (20)$$

## II. THE YANG-MILLS INSTANTONS

Using,

$$\begin{aligned}
 & - \int d^4x \text{Tr}[(G \pm \tilde{G})^2] \geq 0 \\
 \rightarrow & - \int \text{Tr}[G^2] \geq \mp \int d^4x \text{Tr}[\tilde{G}G] \\
 & S \geq (8\pi^2/g^2)|Q|
 \end{aligned} \tag{21}$$

equality holding when  $\tilde{G} = G$ . That is to say, such gluon field configurations satisfies the equations of motions,  $D_\mu G^{\mu\nu} = 0$ .

One solution is

$$A_\mu = -2i\Sigma_{\mu\nu} \frac{(x - a_1)_\nu}{|(x - a_1)|^2 + \lambda_1^2} \tag{22}$$

This solution at  $r \rightarrow \infty$  goes to,  $A_\mu = -2i\Sigma_{\mu\nu} \frac{\hat{x}_\nu}{|x|}$ .

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